

2152/45 PTO/SB/21 (08-03) 41

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<b>PIPE SYSTEMS</b> <b>TRANSMITTAL FORM</b> (to be used for all correspondence after initial filing) JUN 16 2004 PATENT & TRADEMARK OFFICE	Application Number	09/772,545	
	Filing Date	January 30, 2001	
	First Named Inventor	Andrew Ahmad	
	Group Art Unit	2152	
	Examiner Name	Li Zhen	
Total Number of Pages in This Submission	210	Attorney Docket Number	IDF 1539 (4000-01900)

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Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm Or Individual Name	Michael W. Piper, Reg. No. 39,800
Signature	<i>Michael W. Piper</i>
Date	6/11/2004

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Att. Docket: IDF 1539 (4000-01900)

Patent

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Andrew Ahmad, *et al.*  
Serial No.: 09/772,545  
Filed: January 30, 2001  
For: METHOD FOR MIDDLE-TIER OPTIMIZATION  
IN CORBA OTS

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Group Art Unit: 2152

Examiner: Li Zhen

Confirmation No.: 9262

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INFORMATION DISCLOSURE STATEMENT

Commissioner:

This Information Disclosure Statement, including completed Form PTO-1449, comprises a list of pertinent art of which Applicants are aware. A copy of each patent and publication listed on Form PTO-1449 is enclosed herewith.

The submission of this Information Disclosure Statement and Form PTO-1449 is not an admission that the art cited is "prior" with respect to the present invention, nor is it a representation that no better art exists. Applicants hereby reserve the right to swear behind or otherwise disprove

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any alleged "prior" nature of any art cited should the facts support and that situation warrant such an action. It is submitted that the art cited does not constitute a bar to the patentability of Applicants' invention under 35 U.S.C. § 102 or § 103.

No Office Action has been received in the present application. Nonetheless, in the event that an Office Action dated prior to the mailing date of this Information Disclosure Statement has been issued, please charge Deposit Account No. 21-0765, Sprint, in the amount of \$180, so that this Information Disclosure Statement may be considered under Rule 1.97(c).

Respectfully submitted,  
CONLEY ROSE, P.C.

Date: 6/11/2004

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JUN 16 2004

FORM PTO-1449 (Modified) U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

FOR: METHOD FOR MIDDLE-TIER OPTIMIZATION  
IN CORBA OTS

ATTY. DOCKET NO.

IDF 1539 (4000-01900)

SERIAL NO.

09/772,545

APPLICANTS:

Andrew Ahmad, et al.

FILING DATE:

January 30, 2001

GROUP 2152

## U. S. PATENT DOCUMENTS

Examiner Initial		Patent Number	Issue Date	Patentee	Class	Sub-class	Filing Date (If Appropriate)
	A	6,269,373	07-2001	Apte et al.	707	10	

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## FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION

			Document Number	Publication Date	Country or Patent Office	Class	Sub-Class	Translation	
								Yes	No

## OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication)

	B	Transparent Fault Tolerance for CORBA, December 1999, University of California
	C	Client/Server Programming with Java and Corba, Second Edition By Robert Orfail, Dan Harkey; ISBN 0-471-24578-X
	D	U.S. Patent Application No. 09/772,548 filed January 30, 2001 entitled <i>Method for Deployment Modification of Transactional Behavior in CORBA OTS</i> , inventor(s): Andrew Ahmad, et al.; Seven sheets of drawings; Atty Dkt. No. IDF 1538 (4000-01800)

Examiner

Date Considered

EXAMINER: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.